

ARAD PROGRAM GENERAL TERMS AND CONDITIONS

The Applied Research & Development (ARAD) Program supports collaborative research projects developed jointly between Stony Brook University (SBU) faculty and a New York company with the primary goal of developing technology toward commercial goals. The Center for Biotechnology's (CFB's) support must be matched by the corporate co-sponsor at no less than a \$1:\$1 ratio up to \$30K. Greater match funding provided by the corporate sponsor will be viewed positively during the review process, although it is not the only evaluation criterion.

Upon award, two accounts will be established in the name of the SBU faculty; one for the CFB award (up to \$50K) and the other for the corporate award (up to \$50K). However, these awards fund a single project with a single set of specific aims, and should be administered with that in mind.

- Funds in each account are expected to be spent simultaneously and at the same rate to the extent reasonably possible.
- Each account falls under the same general guidelines of the ARAD program.
- The entire project is intended to be completed within the specified timeframe unless an extension is approved by the CFB.
- All expenditures are intended to be on campus. Any use of off-campus testing facilities or other off-campus contractors must have the CFB's prior written approval if not included in the original proposal budget.

Reporting requirements:

As a jointly managed project, reporting will be semi-annual and consist of two components:

1. Applied Research Report (PI): The Principal Investigator submits a written report detailing the technical progress of the research, including methods, data, and interpretation of result.
2. Company Presentation & Discussion (Sponsor): The sponsoring company prepares a brief slide presentation summarizing how the sponsored applied research supports the company's development pathway. This should include: - The technical inflection point achieved - How results inform product, regulatory, or commercial strategy - Key business milestones advanced by the work.

The sponsor will also present this summary to the Center for Biotechnology to align on next steps and explore resources that can accelerate progress.

Reports/presentations are to be sent electronically to the Center for Biotechnology (center_for_biotechnology@stonybrook.edu).

Corporate co-sponsor required reporting: The Center for Biotechnology is supported by Empire State Development's Division of Science, Technology, and Innovation (NYSTAR) program and is required to provide economic impact data on all sponsored projects annually. This includes additional funds leveraged, new corporate revenues, jobs created, and cost savings as the primary metrics for success. However, other supportive information, such as patent applications and issued patents, licensed technology, publications, and other grants funded, are also important milestones of success and should be communicated to the Center for Biotechnology. By accepting CFB funding, both the faculty PI and corporate sponsor agree to provide this information in a timely and accurate manner upon CFB request.